CLASS DETECTION SCHEME AND TIME MEDIATED AVERAGING
OF CLASS DEPENDENT MODELS

FIELD OF THE INVENTION

5   The present invention relates data modeling, and more
particularly to class dependent models and time mediated averaging of
class dependent acoustic models.

BACKGROUND

          Speech recognition is the process by which computers analyze
sounds and attempt to characterize them as particular letters, words,
10   or phrases. Generally, a speech recognition system is "trained" with
many phoneme examples. A phoneme is a basic unit of sound in a given
lexicon. For example, it is generally agreed that the English language
possesses approximately 50 unique phonemes. Each phoneme may include
several variations in its pronunciation, referred to as allophones.
15   There are approximately 700 allophones in the IBM speech recognition
system used hereafter for demonstration purposes. The terms allophones
and phonemes are used interchangeably herein.

          A speech recognition system examines various features from each
phoneme example by mathematically modeling its sounds on a
20   multidimensional landscape using multiple Gaussian distributions.

          Once acoustic models of phonemes are created, input speech to be
recognized is sliced into small samples of sound. Each sample is
converted into a multidimensional feature vector by analyzing the same
features as previously used to examine the phonemes. Speech
25   recognition is then performed by statistically matching the feature
vector with the closest phoneme model. Thus, the accuracy, or word
error rate (WER), of a speech recognition system is dependent on how
well the acoustic models of phonemes represent the sound samples input
by the system.

30   Gender specific models, i.e., separate female and male acoustic
models of phonemes, are known to yield improved recognition accuracy

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over gender independent models. The conventional use of such models is to build one system with just female models and one system with just male models. At test time, samples are decoded using both systems in a two-pass approach. While such gender specific systems provide better

5   speech recognition results, they generally require too much computing power and resources to be practical in many real-world applications.

SUMMARY OF THE INVENTION

The present invention addresses the above-mentioned limitations of conventional speech recognition systems by generating efficient

10   gender dependent Gaussian Mixture Models (GMMs) and integrating such models with an efficient class detection scheme. The invention determines which models contain class independent information and creates class independent models in place of such models. The invention teaches a highly accurate class detection scheme to detect

15   class at a computational cost that is negligible.

Thus, one exemplary aspect of the present invention is a method for generating recognition models. The method includes a receiving operation to receive a first model based on a first set of training data. Another receiving operation receives a second set of training

20   data. The first set of training data originates from a first set of common entities and the second set of training data originates from a second set of common entities. A determining operation determines if there is a difference in model information between the first model and the second model. If the difference in model information is

25   insignificant, a creating operation creates an independent model based on the first set of training data and the second set of training data.

Another exemplary aspect of the invention is a method for recognizing data from a data stream originating from one of a plurality of data classes. The method includes receiving a current feature

30   vector. A computing operation computes the probability that the current feature vector belongs to one of the plurality of data classes. Another computing operation computes an accumulated confidence level

that the data stream belongs to one of the plurality of data classes based on the current vector probability and on previous vector probabilities. A weighing operation then weighs class models based on the accumulated confidence and a recognizing operation recognizes the
5   current feature vector based on the weighted class models.

The foregoing and other features, utilities and advantages of the invention will be apparent from the following more particular description of various embodiments of the invention as illustrated in the accompanying drawings.

10   **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 shows an exemplary network environment embodying the present invention.

Fig. 2 shows one process for generating Gaussian models in accordance with the present invention is presented.

15   **Fig. 3** shows a graph of $p_f(T)$ and $\Pi_f(T)$ for the first 1,000 cepstral vectors uttered by female speakers in one embodiment of the present invention.

Fig. 4 shows one process for speech recognition, as contemplated by the present invention.

20   **DETAILED DESCRIPTION OF THE INVENTION**

The following description details how the present invention is employed to provide an efficient speech and/or voice recognition scheme. Other applications of the present invention utilizing the general principles set forth herein are also contemplated. Thus, the
25   present invention should not be considered limited to the configurations shown and discussed herein.

In **Fig. 1**, an exemplary model creation system **102** contemplated by the present invention is shown. The system **102** includes female training data **104** and male training data **106** coupled to a processor
30   **108**. The training data **104** and **106** contains thousands of recorded

phonemes spoken by male and female speakers. Each training data is identified by its phoneme and whether it comes from a male speaker or a female speaker. In a particular embodiment of the invention, the training data **104** and **106** is configured to provide a broad

5   representation of the phonemes required for speech recognition.

The processor **108** models the phonemes in the training data **104** and **106** by quantifying various features from the data. These features may include the data's signal frequencies, intensities, and other characteristics. In a particular embodiment of the invention, 39

10   features are measured by the processor **108** for each data sample. All the measured features for a given allophone have a particular probability density distribution and the processor **108** models these features using Gaussian Mixture Models (GMMs). Thus, the processor **108** creates female models **110** based solely on the female training data **104**

15   and male models **112** based solely on the male training data **106**.

The processor **108** additionally compares each female model **110** and male model **112** for each phoneme to determine if the gender separation is insignificant. Although many phonemes spoken by female speakers and male speakers sound differently, there are also many phonemes that are

20   very similar when spoken by either male or female orators. For example, silence is obliviously gender independent. Depending on system configuration, many other allophones can exhibit gender independent qualities. Thus, the training data **104** and **106** can be visualized as a Venn diagram of two overlapping circles, with one

25   circle representing female training data **104** and the other male training data **106**. The overlapping portion of the circles is training data that is defined as gender independent.

For female and male phonemes that are insignificantly different for each other, the processor **108** combines their female and male

30   training data **104** and **106** and creates gender independent (GI) models **114**. In addition, the processor **108** removes the separate female models **110** and male models **112** that are determined to have insignificant

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differences from one another. The final result from the processor **108** contains female models **110** derived from female training data **104**, male models **112** derived from male training data **106**, and gender independent models **114** derived from both the female and male training data **104** and

5   **106**, wherein the female models **110** and male models **112** are significantly different from each other.

The model creation system **102** of the present invention beneficially reduces the amount of acoustic models needed to be stored and searched during speech recognition. Furthermore, a speech

10   recognition system using the female, male and gender independent models **110**, **112**, and **114** described above requires less computing power, uses less system resources, and is more practical to implement with minimal loss in recognition accuracy.

In **Fig. 2**, one process for generating GMMs in accordance with the

15   present invention is presented. It should be remarked that the logical operations shown may be implemented (1) as a sequence of computer executed steps running on a computing system and/or (2) as interconnected machine modules within the computing system. The implementation is a matter of choice dependent on the performance

20   requirements of the system implementing the invention. Accordingly, the logical operations making up the embodiments of the present invention described herein are referred to alternatively as operations, steps, or modules.

The process begins with training operation **202**. During this

25   operation, gender independent models (GMM$_{GI}$) are created from both the female and male training data. In experiments conducted using one embodiment of the invention, the female and male training data consisted of a total of 462,388 utterances, out of which 228,693 corresponded to female speakers and 233,695 corresponded to male

30   speakers. The training data was collected from stationary and moving car conditions. Training data in the moving car was acquired at car speeds of 30 mph and 60 mph. Data was recorded in several different

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cars with microphones placed at rear-view mirror, visor, and seatbelt locations. The training data was also appended by synthetically adding noise, collected in a car, to the stationary car data.

The baseline acoustic model of these experiments consisted of a
5 standard 39 dimensional Fast Fourier Transform (FFT) based Mel-Filtered Cepstral Coefficients (MFCC) front-end (13 dimensional cepstal vectors and corresponding Δ and $\Delta\Delta$ cepstral vectors spliced together). Digits were modeled by defining word specific digit phonemes, yielding word models for digits. In total, 680 word internal triphones were used to
10 model acoustic context and the Gaussian Mixture Models used to model the individual allophones consisted of a total of 10,253 Gaussians. The number of Gaussians assigned to each allophone was determined using Bayesian Information Criterion, as described in S. S. Chen and R. A. Gopinath, "Modeling Selection in Acoustic Modeling," in *Eurospeech*,
15 Budapest, Hungary, September 1999.

In testing the system, the test data was also well balanced between genders. It consisted of a total of 74,743 words, out of which 36,241 words were uttered by female speakers and 37,502 by male speakers. The test data comprises of 22 speakers recorded in a car
20 moving at speeds of 0 mph, 30 mph, and 60 mph. Four tasks were considered: address (A), commands (C), digits (D), and radio control (R). The following are typical utterances from each task:

    (A) NEW YORK CITY NINETY SIXTH STREET WEST

    (C) SET TRACK NUMBER TO SEVEN

25   (D) NINE THREE TWO THREE THREE ZERO ZERO

    (R) TUNE TO F.M. NINETY THREE POINT NINE

Once the gender independent models (GMM_{GI}) are created and trained at training operation **202**, control passes to training operations **204** and **206**. During training operation **204**, female models (GMM_f) are
30 created and trained from just the female training data. Similarly, at training operation **206**, male models (GMM_m) are created and trained using

just the male training data. It is noted that training operations **202**, **204** and **206** may be performed in sequence or in parallel, depending on system configuration. After training operations **202**, **204** and **206** are completed, control passes to determining operation **208**.

5   At determining operation **208**, the female models are compared with the male models to determine if their differences are insignificant. In one embodiment of the invention, the difference between two acoustic models for a phoneme X is measured using the Kullback Leibler divergence:

10
$$D(f \parallel m) = \int_{\Re^d} \log\left(\frac{f(x)}{m(x)}\right) f(x)dx.$$

If $f=\text{GMM}_f(X)$ and $m=\text{GMM}_m(X)$ consists of a single Gaussian, then the above equation can be computed exactly. Otherwise, the distance is computed numerically. Monte Carlo estimation can be used to compute the integral in the general case. Let $\{x_i\}^n_{i=1}$ be n samples from the
15   distribution $f(x)$, then

$$\int_{\Re^d} \log m(x)dx \approx \frac{1}{n}\sum_{i=1}^{n} \log m(x_i).$$

Using the Kullback Leibler distance, the phonemes that vary little between gender can be discovered. **Table 1** shows a list of phonemes with smallest and largest Kullback Leibler distances, as computed
20   during one experiment of the present invention.

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$D(f||g)$	Phoneme	$D(f||g)$	Phoneme
0.5059	FD_3	18.3031	OW_1
0.5322	F_1	16.8553	EH_1
0.5626	F_2	16.6865	ER_3
0.6652	F_3	16.3531	EY_3
0.7608	H_1	16.3488	EH_1
0.7662	SIL_1	16.3469	EH_2

Table 1: Top few context dependent phonemes
(allophones) with largest and smallest Kullback
Leibler distance.

The experiment results were achieved using gender dependent
5   acoustic models with 6.3K Gaussians and gender independent models with
7K Gaussians. To combine these models, the Kullback Leibler distance
between all context dependent phonemes was computed and sorted. An
upper limit of 10K total Gaussians was placed on the system output.
Combining the 6.3K male and female acoustic models gives a total of
10   12.6K Gaussians. To reduce the number of Gaussians, the context
dependent phonemes were sorted according to their Kullback Leibler
distance and replace with Gaussians from the gender independent
Gaussians starting with the smallest distance first. When the number
of Gaussians came below 10K, the process was stopped. **Table 2** shows
15   the decoding results of this experiment. The variables π_f and π_m
occurring in **Table 2** are the weights referred to earlier. These
weights are discussed in detail below.

Test Gender	Baseline	$\pi_f * \mathrm{GMM}_f +$ $\pi_m * \mathrm{GMM}_m$
Both	3.34%	2.80%
Female	4.40%	3.55%
Male	2.32%	2.07%

Table 2: Word error rates for time mediated
averaging of the gender dependent diagonal GMMs
20   with shared Gaussians.

Thus, the decision whether or not the female and male phoneme models carry gender information may be based on a maximum allowable number of Gaussians in the system. Alternatively, the decision may be based on a threshold Kullback Leibler distance value. Other methods known to those skilled in art for determining if the difference in information between the female and male models is insignificant may be utilized by the present invention.

For those phonemes which determining operation **208** returns an evaluation that the gender information they carry is insignificant, control passes to adding operation **210**. At adding operation **210**, the gender independent models (GMM_{GI}) for these phonemes are added to a final system model.

For those phonemes which determining operation **208** returns an evaluation that the gender information they carry is significant, control passes to adding operation **212**. At adding operation **212**, separate female models (GMM_f) and male models (GMM_m) for phonemes with significant gender information are added to the final system model. The process ends after examination of all the phoneme models is completed.

In one experiment of the present invention, the improvement in the oracle model for the merged 5K gender models were noticeably smaller than for the 10K models, but still substantial. When using a gender detection scheme to detect gender, there will inevitably be errors, especially at times of gender changes. Since cross-gender performance is very poor, a scheme with a less dramatic deterioration in the word error rate is desirable. The gender independent 10K GMMs is of course such a model. **Table 3** shows the performance for three different interpolation values for the diagonal covariance GMMs. The performance of the model where the male and female GMMs are equally interpolated was only slightly worse than the performance of the gender independent models. What this means is that if it is difficult to assess the gender, one can simply use the model $0.5*GMM_f + 0.5*GMM_m$ at

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little cost in accuracy.

Test Gender	0.5*GMM$_f$ + 0.5*GMM$_m$	0.8*GMM$_f$ + 0.2*GMM$_m$	GMM$_f$
Both	3.51%	3.44%	6.75%
Female	4.60%	4.04%	3.45%
Male	2.46%	2.87%	9.93%

Table 3: Word error rates for interplated
gender dependent diagonal GMMs.

Let p_f, p_m, and $p_f+p_m=1$ represent how the certainty that speech
originated from a speaker of a particular gender. If the only acoustics
observed from a speaker is a single frame x_t the best estimate for p_f is
the a posteriori gender probability,

$$\gamma_{f,t} = \frac{\sum_{g \in F} N(x_i; \sum_g, \mu_g)}{\sum_{g \in G} N(x_i; \sum_g, \mu_g)}$$

where G is the collection of all Gaussians and F and M are the
collection of Gaussians corresponding to male and female speakers.
With more speech, the estimate can of course be improved. With frames
$x_1, \ldots, x_T$ a reasonable estimate for p_f is simply,

$$p_f(T) = \frac{1}{n} \sum_{t=2}^{T} \gamma_{f,t}.$$

The problem with this estimate is that it does not easily allow
detection of a change of speaker. One possible method to fix this is
to not use all previous frames, but to create a moving window, i.e.,

$$p_f(T) = \frac{1}{n} \sum_{t=T-n}^{T} \gamma_{f,t}.$$

This strategy requires the memorization of the previous n-1
values of $\gamma_{f,t}$. Also, this strategy weights each previous sample
equally. Intuitively, the most current acoustic information should
carry more weight than the older acoustic information. A probability

distribution solving these two problems is the discrete geometric
probability distribution $q_i = (1-a)a^i$, $i=0,1,\ldots$. With this distribution
$p_f(T)$ is defined by,

$$p_f(T) = \sum_{t=0}^{\infty} q_t \gamma_{f,T-1}.$$

5   This quantity can now be efficiently computed by the formula,

$$p_f(T) = a * p_f(T-1) + (1-a) * \gamma_{f,T-1},$$

requiring only the memorization of $p_f(T-1)$. The mean of q_i is $a(1-a)$
which can be interpreted as the effective window size when using the
weights q_i. In one embodiment of the speech recognizer, cepstral
10   vectors were computed every 15ms and a was chosen so that $a(1-a)=100$.
Thus, the effective gender switching time for $p_f(T) * GMM_f + p_m(T) * GMM_m$ is
of the order of 1.5 seconds. The decoding result with the acoustic
model $p_f(T) * GMM_f + p_m(T) * GMM_m$ is given in **Table 4**. This acoustic model
did not capture much of the gain inherently available in the oracle
15   model. Detailed analysis shows that this is due to $p_f(T)$ and $p_m(T)$
being very close to 0.5. This could mean that $p_f(T)$ is not a good
predictor that speech originated from a female speaker, but luckily
this is not so since $p_f(T)$ tend indeed to be greater than 0.5 for female
speech, as can be seen in **Fig. 3**. The cure that is needed is a
20   "sharpening" of the a posteriori probabilities $p_f(T)$ and $p_m(T)$. The
boosted gender detection probabilities $\pi_f(T)$ and $\pi_m(T)$ is introduced by,

$$\pi_f(T) = \frac{p_f(T)^{\beta}}{p_f(T)^{\beta} + p_m(T)^{\beta}}$$

As β increases, the sharper the $\pi_f(T)$ and $\pi_m(T)$ probabilities become.
Table 4 also shows results for decoding with the model $\pi_f(T) * GMM_f +$
25   $\pi_m(T) * GMM_m$ for $\beta=6$. As can be seen, almost all of the gain in the
oracle model, which has an error rate of 2.75%, is captured by this
acoustic model.

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Test Gender	Baseline	$p_f*\mathrm{GMM}_f + p_m*\mathrm{GMM}_m$	$\pi_f*\mathrm{GMM}_f + \pi_m*\mathrm{GMM}_m$
Both	3.34%	3.29%	2.88%
Female	4.40%	4.26%	3.61%
Male	2.32%	2.34%	2.18%

Table 4: Word error rates for time mediated averaging of gender dependent diagonal GMMs.

Turning now to **Fig. 4**, one process for speech recognition, as contemplated by the present invention, is shown. The process begins at initializing operation **402**. During this operation, the initial confidence that the speaker is female, male, or gender independent, as assigned to γ_0, is set to 50%, 50%, and 0% respectively. Thus, the probability that the speaker is female or male, without receiving any speech samples, is set equal. Once initializing operation **402** is completed, control flow passes to fetching operation **404**.

At fetching operation **404**, the next sound sample from an audio stream is acquired. In a particular embodiment of the invention, a 25ms frame sample of the audio stream is moved along at 15ms intervals. Thus, a time-varying snapshot of the audio stream is used to generate multidimensional feature vectors of the audio stream. As shown, the time index is contained in i, and x_i is the current multidimensional feature vector. Once x_i is acquired by the system, control passes to computing operation **406**.

At computing operation **406**, the best estimate of whether the speaker is female, male, or gender independent, based on the current sample frame is made. The computation of γ_i is carried out as described in detail above. Once γ_i is determined, control passes to computing operation **408**.

At computing operation **408**, the accumulated gender confidence p is computed. The accumulated gender confidence is a running weighted average over time of the speaker's gender. Thus, if the current frame

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sample contains gender-neutral content, both the male and female confidences will fall. Conversely, if the current frame sample contains female content, both the male and gender independent (GI) confidences will fall. A forgetting factor α is utilized to place more

5 emphasis on the gender confidence level of the current sample frame and exponentially decreasing importance on the gender confidence levels of previous sample frames. Once p_f, p_m and p_{GI} confidence levels are computed, control passes to computing operation **410**.

At computing operation **410**, the accumulated female and male

10 gender confidences p_f and p_m are used to weight the female and male models. The combined likelihoods of the male and female models at this step are used in a speech recognition system to search for the sequence of words that is most probable. The probability of a particular word sequence is computed according to a language model and the product of

15 likelihoods for each allophones that the word sequence is comprised of. Such a computation is well known to a person versed in the design of speech recognition systems. It is noted that although **Fig. 4** shows the computation using the confidences p_f and p_m, it could equally well use the boosted gender detection probabilities π_f and π_m or any other set of

20 accumulated gender confidences. After computing operation **410** is completed, control flow proceeds to decision operation **412**.

At decision operation **412**, the data stream is checked for more data. If more data exists, control returns to fetching operation **404**, where the next feature vector is obtained. If no more data exists in

25 the data stream, the process is terminated.

In some applications of the present invention, evaluation of all Gaussians for every frame in a real time speech recognizer as described above may be impractical. Therefore, in one embodiment of the invention, only a small set of Gaussians are evaluated for each frame

30 to compute $\pi_f(T)$. As most speech recognizers are highly optimized with respect to computational cost, even the computation of $\pi_f(T)$ and $\pi_m(T)$ can be prohibitively expensive. One way to save on the computation is

to further reduce the number of Gaussians available in the computation of $\pi_f(T)$ and $\pi_m(T)$. In the extreme where only one Gaussian is kept, the quantity $\gamma_{f,t}$ is either 0 or 1. This case is denoted by $\pi'_f(T)$ and $\pi'_m(T)$. The computation of $\pi'_f(T)$ now merely corresponds to simple

5   counting and the evaluation of

$$\pi_f(T) = \frac{p_f(T)^{\beta}}{p_f(T)^{\beta} + p_m(T)^{\beta}}.$$

Table 5 shows word error rates for decodings with fast hierarchical evaluation of GMMs and a fast gender detection scheme.

Test Gender	Baseline	$p_f*GMM_f +$ p_m*GMM_m	$\pi'_f*GMM_f +$ π'_m*GMM_m
Both	3.34%	3.29%	2.88%
Female	4.40%	4.26%	3.61%
Male	2.32%	2.34%	2.18%

Table 5: Word error rates for decodings with
10   fast hierarchical evaluation of GMMs and a fast
gender detection scheme.

It is contemplated that retraining gender averaged acoustic models can be achieved by letting the Expectation Maximization algorithm automatically discover common structures in the acoustic
15   models. If $\pi_f(T)$ and $\pi_m(T)$ are forced to take on the values 0 or 1 according to the gender of the speaker in the training data, the new models will not differ from the current models. Similarly, experiments showed that using the values $\pi_f(T)$ described for decoding does not yield any gains either. However, word error rate improvements are achieved
20   if $\pi_f(T)$ and $\pi_m(T)$ are fixed to 0.5 and the following procedure is followed. First, collect statistics on the female training data with the composite model, but update only the Gaussians corresponding to the female speakers. Then repeat for male speakers and iterate this procedure. The improvements after several iterations are shown in
25   **Table 6**.

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Test Gender	$\Pi_f{}^*GMM_f + \Pi_m{}^*GMM_m$	Retrained
Both	3.23%	3.09%
Female	3.87%	3.63%
Male	2.61%	2.57%

Table 6: Word error rates for retrained averaged gender dependent GMMs.

The foregoing description of the invention has been presented for purposes of illustration and description. It is not intended to be
5   exhaustive or to limit the invention to the precise form disclosed, and other modifications and variations may be possible in light of the above teachings. For example, those skilled in the art may apply the teaching of the present invention to optical character recognition (OCR) systems. The embodiments disclosed were chosen and described in
10   order to best explain the principles of the invention and its practical application to thereby enable others skilled in the art to best utilize the invention in various embodiments and various modifications as are suited to the particular use contemplated. It is intended that the appended claims be construed to include other alternative embodiments
15   of the invention except insofar as limited by the prior art.

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